

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application No.:	10/697,541
	Filing Date:	October 30, 2003
	First Named Inventor:	Alan M. Buckwalter et al.
	Art Unit:	3694
	Examiner Name:	Shahid R. Merchant
	Docket No.:	17209-322CP1

U.S. PATENTS ⁶						
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	6,829,589		12-04	Saliba, Anthony J.	
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	4	2002/0059107		05-02	Reich et al.	
	5	2002/0091606		07-02	Shapiro	
	6	2002/0138401		09-02	Allen et al.	
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	13	Rini, Bill et al., "Subject: Trade-Order Routing and Payment for Order Flow," The Investment FAQ, Last-Revised November 25, 1999, retrieved from < http://invest-faq.com/articles/trade-order-routing.html > on September 25, 2003. 5 pgs.	<input type="checkbox"/>
	14	"SRO Rulemaking, Supplementary Material: Chicago Board Options Exchange Options Linkage Plan," U.S. Securities and Exchange Commission, January 19, 2000, retrieved from < http://www.sec.gov/rules/extra/cboe.htm > on September 25, 2003. 29 pages.	
	15	Peter Chapman, "Quality Control At Mother Merrill: Spending Big Bucks to Obtain Best	

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		Execution," Traders Magazine, March 2000. Retrieved October 8, 2010, from Banking Information Source.	
	16	Louis, Brian, "Options Linkage Plan Won't Provide Instant Solution," The Street.com, July 28, 2000, retrieved from < http://www.thestreet.com/pf/stocks/brokerages/1019385.html > on September 25, 2003. 2 pgs.	
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